



**CERTIFICATE OF MAILING**  
(PATENT APPLICATION)

Express Mail No. EL949630735US

Deposited: October 11, 2002

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By: Xe J A

Application of: Bauhahn et al.

Application No.: 09/560,064

Filing Date: April 27, 2000

Title: Patient Directed Therapy Management

Transmitted herewith are the following documents:

- X Transmittal Form (1 page) in duplicate
- X Fee Transmittal (1 page) in duplicate
- X Preliminary Amendment (3 pages)
- X Return Postcard

Attorney Case No.: 11738.86893

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OCT 18 2002  
TECHNOLOGY CENTER R3700

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3736

Please type a plus sign (+) inside this box → ☒

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0691-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|   |                             |                               |             |
|---|-----------------------------|-------------------------------|-------------|
| <b>Application Number</b>                       | 09/560,064                  |                               |             |
|   | <b>Filing Date</b>          | April 27, 2000                |             |
|   | <b>First Named Inventor</b> | Bauhahn et al.                |             |
|   | <b>Group Art Unit</b>       | 3736                          |             |
| <b>Examiner Name</b>                            | n/a                         |                               |             |
| <b>Total Number of Pages in This Submission</b> | 5                           | <b>Attorney Docket Number</b> | 11738.86893 |

### ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☐ Fee Attached

☒ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69)  
and Accompanying Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ After Allowance Communication to  
Group

☐ Appeal Communication to Board of  
Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)  
(please identify below):

**Express Mail Certificate  
Return Receipt Postcard**

Remarks

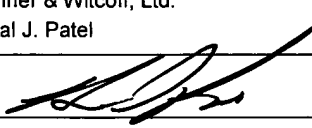
The Commissioner is hereby authorized to charge any  
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Deposit Account 19-0733.

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TECHNOLOGY CENTER B3300

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|  |   |
|--|---|
| <b>Firm<br/>or<br/>Individual name</b> | Banner & Witcoff, Ltd.<br>Binal J. Patel  |
| <b>Signature</b>                       |  |
| <b>Date</b>                            | October 11, 2002  |

### CERTIFICATE OF MAILING

|   |  |             |  |
|---|--|-------------|--|
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| <b>Typed or printed name</b>  |  |             |  |
| <b>Signature</b>  |  | <b>Date</b> |  |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**FEE TRANSMITTAL for FY 2002**

*Patent fees are subject to annual revision.*

☐ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT (\$)** 246

**Complete if Known**

Application Number: 09/560,064

Filing Date: April 27, 2000

First Named Inventor: Ruth Bauhahn

Examiner Name: n/a

Group / Art Unit: 3736

Attorney Docket No.: 011738.00088

**METHOD OF PAYMENT (check all that apply)**

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 19-0733

Deposit Account Name: Banner & Witcoff, Ltd.

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

**FEE CALCULATION**

**1. BASIC FILING FEE**

| Large Entity        | Small Entity | Fee Code | Fee (\$) | Fee Description        | Fee Paid      |
|---------------------|--------------|----------|----------|------------------------|---------------|
| 101                 | 201          | 740      | 370      | Utility filing fee     |               |
| 106                 | 206          | 330      | 165      | Design filing fee      |               |
| 107                 | 207          | 510      | 255      | Plant filing fee       |               |
| 108                 | 208          | 740      | 370      | Reissue filing fee     |               |
| 114                 | 214          | 160      | 80       | Provisional filing fee |               |
| <b>SUBTOTAL (1)</b> |              |          |          |                        | <b>(\$)</b> 0 |

**2. EXTRA CLAIM FEES**

Total Claims: 39 -30 \*\* = 9 X Fee from below 18 = Fee Paid 162

Independent Claims: 5 -4 \*\* = 1 X Fee from below 84 = Fee Paid 84

Multiple Dependent: X Fee from below = Fee Paid 0

| Large Entity        | Small Entity | Fee Code | Fee (\$) | Fee Description  | Fee Paid        |
|---------------------|--------------|----------|----------|--|-----------------|
| 103                 | 203          | 18       | 9        | Claims in excess of 20                                     |                 |
| 102                 | 202          | 84       | 42       | Independent claims in excess of 3                          |                 |
| 104                 | 204          | 280      | 140      | Multiple dependent claim, if not paid                      |                 |
| 109                 | 209          | 84       | 42       | ** Reissue independent claims over original patent         |                 |
| 110                 | 210          | 18       | 9        | ** Reissue claims in excess of 20 and over original patent |                 |
| <b>SUBTOTAL (2)</b> |              |          |          |  | <b>(\$)</b> 246 |

\*\*or number previously paid, if greater; For Reissues, see above

**3. ADDITIONAL FEES**

| Large Entity                             | Small Entity | Fee Code | Fee (\$) | Fee Description  | Fee Paid      |
|--|--------------|----------|----------|--|---------------|
| 105                                      | 205          | 130      | 65       | Surcharge - late filing fee or oath  |               |
| 127                                      | 227          | 50       | 25       | Surcharge - late provisional filing fee or cover sheet.                    |               |
| 139                                      | 139          | 130      | 130      | Non-English specification  |               |
| 147                                      | 147          | 2,520    | 2,520    | For filing a request for reexamination                                     |               |
| 112                                      | 112          | 920*     | 920*     | Requesting publication of SIR prior to Examiner action                     |               |
| 113                                      | 113          | 1,840*   | 1,840*   | Requesting publication of SIR after Examiner action                        |               |
| 115                                      | 215          | 110      | 55       | Extension for reply within first month                                     |               |
| 116                                      | 216          | 400      | 200      | Extension for reply within second month                                    |               |
| 117                                      | 217          | 920      | 460      | Extension for reply within third month                                     |               |
| 118                                      | 218          | 1,440    | 720      | Extension for reply within fourth month                                    |               |
| 128                                      | 228          | 1,960    | 980      | Extension for reply within fifth month                                     |               |
| 119                                      | 219          | 320      | 160      | Notice of Appeal   |               |
| 120                                      | 220          | 320      | 160      | Filing a brief in support of an appeal                                     |               |
| 121                                      | 221          | 280      | 140      | Request for oral hearing   |               |
| 138                                      | 138          | 1,510    | 1,510    | Petition to institute a public use proceeding                              |               |
| 140                                      | 240          | 110      | 55       | Petition to revive - unavoidable   |               |
| 141                                      | 241          | 1,280    | 640      | Petition to revive - unintentional   |               |
| 142                                      | 242          | 1,280    | 640      | Utility issue fee (or reissue)   |               |
| 143                                      | 243          | 460      | 230      | Design issue fee   |               |
| 144                                      | 244          | 620      | 310      | Plant issue fee  |               |
| 122                                      | 122          | 130      | 130      | Petitions to the Commissioner  |               |
| 123                                      | 123          | 50       | 50       | Processing fee under 37 CFR 1.17 (q)                                       |               |
| 126                                      | 126          | 180      | 180      | Submission of Information Disclosure Stmt                                  |               |
| 581                                      | 581          | 40       | 40       | Recording each patent assignment per property (times number of properties) |               |
| 146                                      | 246          | 740      | 370      | Filing a submission after final rejection (37 CFR § 1.129(a))              |               |
| 149                                      | 249          | 740      | 370      | For each additional invention to be examined (37 CFR § 1.129(b))           |               |
| 179                                      | 279          | 740      | 370      | Request for Continued Examination (RCE)                                    |               |
| 169                                      | 169          | 900      | 900      | Request for expedited examination of a design application                  |               |
| Other fee (specify) _____                |              |          |          |  |               |
| <b>*Reduced by Basic Filing Fee Paid</b> |              |          |          |  |               |
| <b>SUBTOTAL (3)</b>                      |              |          |          |  | <b>(\$)</b> 0 |

**SUBMITTED BY**

Name (Print/Type): Binal J. Patel

Registration No. Attorney/Agent: 42,065

Telephone: 312.715.1000

Signature: [Signature]

Date: October 11, 2002

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